

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J10011 U.S. PTO
09/891978
06/26/01



In re Application of :
Roscoe et al. :
Serial No.: Herewith :
Filed: Herewith :
For: **METHOD AND SYSTEM** :
FOR TRACKING, :
RECONCILING AND :
ADMINISTERING FEES :
AND COSTS IN :
INVESTMENT-BASED :
VARIABLE LIFE :
INSURANCE VALUE :
:

Group Art Unit: TBA
Examiner: TBA

#4

Assistant Commissioner for Patents
Washington, D. C. 20231

SIR:

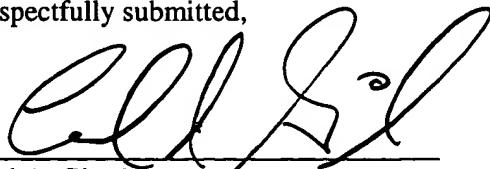
INFORMATION DISCLOSURE STATEMENT

In accordance with the rules relating to the duty of disclosure, 37 CFR §1.56 and §1.97, Applicant submits herewith Form PTO-1449 and copies of the references listed therein.

Applicant believes that no fee is due at this time. If, however, a fee is deemed due, authority is hereby given to charge such fee to Deposit Account No. 04-1679.

Consideration and entry of these references is respectfully requested.

Respectfully submitted,


Carl A. Giordano
Reg. No. 41,780

June 26, 2001

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FORM PTO-1449**INFORMATION DISCLOSURE CITATION**

Sheet 1 of 1
 Docket No. Hartford-4
 Serial No. Herewith
 Applicant: Roscoe et al.
 Filing Date: Herewith Group: TBA

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS *	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
A	5,926,792	7/20/99	Koppes et al.			9/18/98
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIALS *	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES / NO
						PTO 06/26/01
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

11011 U.S. 9/8/1978